

MAR 22 2004

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

1

of

1

*Complete if Known*

|                        |                     |
|------------------------|---------------------|
| Application Number     | 10/737,128          |
| Filing Date            | December 15, 2003   |
| First Named Inventor   | Michael John Rutter |
| Art Unit               |                     |
| Examiner Name          |                     |
| Attorney Docket Number | CHM-010             |

**U. S. PATENT DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Document Number |                                   | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|--------------------|-----------------------|-----------------|-----------------------------------|--------------------------------|--|---|
|                    |                       | Number          | Kind Code <sup>2</sup> (if known) |                                |  |   |
| MP                 |                       | US- 3,529,596   |                                   | 09-22-1970                     | Garner   |   |
|                    |                       | US- 3,599,642   |                                   | 08-17-1971                     | Tindel   |   |
|                    |                       | US- 3,964,488   |                                   | 06-22-1976                     | Ring, et al  |   |
|                    |                       | US- 4,340,046   |                                   | 07-20-1982                     | Cox  |   |
|                    |                       | US- 4,377,164   |                                   | 03-22-1983                     | Sabbota  |   |
|                    |                       | US- 4,987,895   |                                   | 01-29-1991                     | Heimlich   |   |
|                    |                       | US- 5,058,577   |                                   | 10-22-1991                     | Six  |   |
|                    |                       | US- 5,333,608   |                                   | 08-02-1994                     | Cummins  |   |
|                    |                       | US- 5,386,826   |                                   | 02-07-1995                     | Inglis, et al                                      |   |
|                    |                       | US- 5,443,064   |                                   | 08-22-1995                     | Theis, et al                                       |   |
| MP                 |                       | US- 5,823,184   |                                   | 10-20-1998                     | Gross  |   |

**FOREIGN PATENT DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Foreign Patent Document   | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear | T <sup>6</sup> |
|--------------------|-----------------------|---|--------------------------------|--|---|----------------|
|                    |                       | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) |                                |  |   |                |
|                    |                       |   |                                |  |   |                |
|                    |                       |   |                                |  |   |                |

**OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

|                    |                       |  |                |
|--------------------|-----------------------|--|----------------|
| Examiner Initials* | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s) volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
| MP                 |                       | Nellcor, Critical Care Systems (5 pages), <a href="http://www.nellcor.com/products">http://www.nellcor.com/products</a> (7/21/02)  |                |
|                    |                       | Nellcor, Critical Care Systems "LGT-Laryngectomy Tubes" (2 pages), <a href="http://www.nellcor.co/products">http://www.nellcor.co/products</a> (8/19/02)   |                |
|                    |                       | Nellcor, Critical Care System "Hi-Lo® Tracheal Tube (2 pages), <a href="http://www..nellcor.com/products">http://www..nellcor.com/products</a> (8/15/02)   |                |
|                    |                       | Nellcor, Critical Care System "Shiley® TracheoSoft® XLT Tracheostomy Tubes (2 pages), <a href="http://www..nellcor.com/products">http://www..nellcor.com/products</a> (8/15/02)  |                |
|                    |                       | Nellcor, Critical Care System "Lo-Pro®/Lo-Contour® Tracheal Tube (4 pages), <a href="http://www..nellcor.com/products">http://www..nellcor.com/products</a> (8/15/02)  |                |
|                    |                       | Nellcor, Critical Care System "Reinforced Tracheal Tube (2 pages), <a href="http://www..nellcor.com/products">http://www..nellcor.com/products</a> (8/15/02)   |                |
|                    |                       | Portex, Airway Management – ICU (1 page), <a href="http://www.portex.com/airway/products/department">http://www.portex.com/airway/products/department</a> (8/15/02)  |                |
|                    |                       | Portex, Airway Management, Helping you sustain life (1 page), <a href="http://www.portex.com/airway/home">http://www.portex.com/airway/home</a> (8/15/02)  |                |
| MP                 |                       | Nellcor, A Quick Reference Guide to Mallinckrodt Tracheal Tubes, Tracheal Tube Restraint (2 pages) and Nasal RAE Traceal Tube (1 page), <a href="http://www.nellcor.com/prod/Product">http://www.nellcor.com/prod/Product</a> (10/20/03)                       |                |

Examiner Signature

*Mike Parte*

Date Considered

11-19-2004